

1 A SYSTEM AND METHOD FOR EXCHANGING DATA AND COMMANDS

2 BETWEEN AN OBJECT ORIENTED SYSTEM AND A RELATIONAL SYSTEM

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+SUA This application contains a Microfiche Appendix  
consisting of one (1) slide and 30 frames.

4 BACKGROUND OF THE INVENTION

5 1. Field of the Invention.

6 The present invention relates generally to systems and methods for transferring  
7 data and commands between computing systems. In particular, the present invention  
8 relates to a system and a method for exchanging data and commands between an object  
9 oriented system and a relational system.

10 2. Description of the Background Art.

11 With the development and proliferation of computers of increasing performance  
12 capability, a number of different languages and programming paradigms have been  
13 developed. These languages and programming paradigms are used in conjunction with  
14 data that can be stored persistently in a variety of different ways. For example, options  
15 for persistent storage include relational databases, file systems and object-oriented  
16 databases.

17 Most data has been stored in a relational format such as in tables of a relational  
18 database. The data is manipulated and maintained by a relational database  
19 management system (RDBMS). One particularly attractive attribute of such relational  
20 systems is that RDBMSs are able to persistently store data. Relational systems are

1 persistent in the sense that the data is stored in a stable storage environment such that  
2 the data is accessible even after the application that created the original data stops  
3 executing. Furthermore, there are a number of applications and tools available for the  
4 manipulation and maintenance of such data in relational databases. Since relational  
5 databases have been in existence for many years, the use and proliferation of such  
6 applications and tools are widespread, and sophistication and capabilities of the tools  
7 are great.

8 However, a new programming paradigm that has become more widespread in  
9 recent years is object-oriented programming (OOP). In fact, OOP is becoming the  
10 dominant programming paradigm with the development and widespread use of new  
11 programming languages such as JAVA and C++. In OOP, a class is used to encapsulate  
12 the structure and behavior of objects. Thus, the objects contain both the data and the  
13 functionality for manipulation of the data.

14 It is very natural and desirable for application developers to represent the  
15 business objects in an object-oriented language like Java and at the same time use  
16 RDBMS for the persistence storage of those objects.

17 One problem existing in the art is that there are no systems and methods to  
18 bridge the gap between the programming paradigm used for object-oriented systems  
19 and the programming paradigm used for relational systems. There are also no systems  
20 and methods for bridging the gap between the languages used for object-oriented  
21 systems such as Java and the languages used in relational systems such as SQL.  
22 Furthermore, there is no easy method for specifying the mapping between such object-

1 oriented systems and relational systems. Thus, to perform translation between these  
2 systems has required hand coding of the mapping between object-oriented systems and  
3 relational systems, and hand coding is tedious, time-consuming, error-prone and tends  
4 to be non-uniform. Therefore, there is a need for systems and method for automatically  
5 translating and exchanging data between object-oriented systems and relational  
6 systems.

7 The prior art has attempted to solve the problem with graphical user interfaces  
8 that define mappings and by producing proprietary platform specific code that will  
9 translate between object-oriented systems and relational systems. However, such prior  
10 art systems have the following shortcomings. First, they are not always able to create a  
11 relational schema given an object model. Second, they are not always able to produce  
12 an object model given a relational schema. Third, they do not provide a uniform  
13 method for specifying directed options for object graph specification for different  
14 operations. Fourth, the prior art is not able to handle large sets of queried objects by  
15 streaming them between different tiers of applications. Thus, they are subject to  
16 memory bandwidth and response-time performance problems. Finally, such existing  
17 systems are coded for operation with a particular RDBMS. Thus, they are not  
18 interoperable among different relational back ends.

19 In object-oriented systems, when a new object is created it is typically assigned  
20 an identification number that can identify it uniquely among other objects of the same  
21 type. If objects are stored persistently in a database, the identification number assigned  
22 to a newly created object in memory should be unique with respect to even the already

1 stored objects in the database. So there is a need for a system and method having the  
2 ability to always provide a unique number. The existing art has attempted to solve the  
3 problem of providing unique identification numbers by providing the notion of a  
4 unique row-id in the RDBMS, thereby assigning a newly inserted row a unique number.  
5 However, the prior art approach has a number of disadvantages. First, the unique id is  
6 not known to the program until the object is inserted in the database. So if the  
7 programmer has to create related objects which need to know the unique ids for their  
8 initialization, the current scheme would require an insert operation and then a query  
9 operation to get the RDBMS assigned unique id. This is inefficient and cumbersome.  
10 Second, not all RDBMSs have the feature of unique row-ids, thus, such systems in the  
11 prior art cannot generate unique ids. Third, each RDBMS specifies its own unique way  
12 of defining and retrieving these row ids. So the application programmer cannot use a  
13 consistent and portable way of defining, using and referring to the unique ids.

14 Therefore, because of the advantages offered by OOP and the persistence of data  
15 offered by relational systems there is a need for a system that can easily be configured  
16 and that can reliably and automatically transfer data between such relational systems  
17 and object-oriented systems.

#### 18 19 SUMMARY OF THE INVENTION

20 The present invention overcomes the deficiencies and limitations of the prior art  
21 with a system and methods for exchanging data and commands between an object  
22 oriented system and a relational system. In particular, the system of the present

1 invention comprises an Object-Relational Mapping (ORM) grammar, an ORM  
2 specification, Object Class Definitions, a relational database, an operating system, a  
3 Database Exchange Unit including an OR mapping unit, a schema generator, a schema  
4 reverse engineering unit and applications. The ORM specification is based on the ORM  
5 grammar and includes information for defining the mapping between the object-  
6 oriented system and the relational system. The Object Class Definitions define the  
7 object-oriented system, and the relational database defines the relational system. The  
8 Database Exchange Unit executes in accordance with the ORM specification, and is the  
9 programs/routines that operate to translate data from the object model to the relational  
10 model, and vice versa. The schema reverse engineering unit creates Object Class  
11 Definitions and an ORM specification from an ORM template specification and  
12 database schema. The schema generator generates the RDBMS schema from Object  
13 Class Definitions and the ORM specification. The system and method of the present  
14 invention are particularly advantageous due to an innovative grammar used to define  
15 the mapping between an object-oriented system and a relational system. The  
16 specification of mapping information using this innovative grammar allows the  
17 mapping information to be stored conveniently and easily in an operating system file  
18 and also as part of the relational database. The present invention also provides an  
19 application programming interface (API) that automates the tedious object-oriented  
20 program translation code between the relational system and the object oriented system.  
21 Furthermore, the system and methods of the present invention include units to provide  
22 for the automatic, sequenced number generation for new objects. This is advantageous

1 because it provides user flexibility in naming object identifiers for persistent storage in  
2 the database while ensuring that the object identifiers are unique.

3 The present invention further comprises a number of methods including: a  
4 method for generating a ORM Data Structure; a method for generating a mapping unit;  
5 a method for generating a schema from an object model and an object-relational  
6 mapping specification; a method for generating Object Class Definitions and an ORM  
7 specification from an ORM template specification and database schema; and a method  
8 for object streaming.

9  
10 BRIEF DESCRIPTION OF THE DRAWINGS

11 Figure 1 is a block diagram of a first and preferred embodiment for a system  
12 constructed according to a preferred embodiment of the present invention for object-  
13 relational mapping.

14 Figure 2 is a block diagram of a first and preferred embodiment of a memory  
15 used in the system of Figure 1, and including the present invention.

16 Figure 3 is a block diagram of a second embodiment of the system of the present  
17 invention illustrating the present invention implemented as modules.

18 Figure 4 is a block diagram of a first embodiment of a Database Exchange Unit of  
19 Figure 2 as routines stored in the memory of the system.

20 Figure 5 is a block diagram of a second embodiment of the Database Exchange  
21 Unit constructed as modules.

1 Figure 6 is a block diagram of a first embodiment of a schema generator of Figure  
2 2 as routines stored in the memory of the system.

3 Figure 7 is a block diagram of a second embodiment of the schema generator  
4 constructed as modules.

5 Figure 8 is a block diagram of a first embodiment of a schema reverse  
6 engineering unit of Figure 2 as routines stored in the memory of the system.

7 Figure 9 is a block diagram of a second embodiment of the schema reverse  
8 engineering unit constructed as modules.

9 Figure 10 is a flowchart of the preferred process for generating an ORM Data  
10 Structure according to the present invention from an ORMID or ORM file;

11 Figure 11 is a flowchart of the preferred process for generating ORM Data  
12 Structures according to the present invention using an ORM specification;

13 Figure 12 is a flowchart of the preferred process for generating relational schema  
14 from an ORM specification and Object Class Definitions.

15 Figure 13 is a flowchart of the preferred process for generating an ORM  
16 specification and Object Class Definitions from a database schema.

17 Figures 14A and 14B are a flowchart of the preferred process for responding to  
18 an object call using a mapping unit generated from an ORM specification.

19 Figure 15 is a flowchart of the preferred process for object streaming.

20 Figure 16 is a flowchart of the preferred process for generating foreign key  
21 entries and associating them according to a plan data structure set up as per directed  
22 query options.



1 Figure 17 is the specification of an exemplary ORM grammar used in the present  
2 invention.

3 Figure 18 is a textual representation of an exemplary ORM specification;

4 Figure 19 is a graphical representation of the exemplary ORM specification of  
5 Figure 17 showing the mappings between the object model and the relational model  
6 that will be performed by a database exchange using the exemplary ORM specification.

7 Figures 20A-20D are block diagrams of architectural configurations of the  
8 present invention in different tiers (parts) of applications using different relational  
9 database management systems.

10 Figures 21A-21B are graphic block diagrams of architectural configurations  
11 without and with the present invention.

12 Figures 22A and 22B are flowcharts of the preferred process for generating  
13 Named Sequence Generators and using them to produce persistent object identification  
14 numbers.  
15  
16

#### 17 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

18 Referring now to Figure 1, a block diagram of a preferred embodiment of an  
19 object-relational mapping system 100 constructed in accordance with the present  
20 invention is shown. The object-relational mapping system 100 preferably comprises a  
21 central processing unit or processor 102 that connects with a memory 104, an input  
22 device 106, an output or display device 108, a data storage device 110, and a network



1 interface 112. The processor 102, memory 104, input device 106, output device 108,  
2 storage device 110, and network interface 112 are preferably coupled in a von Neuman  
3 architecture via a bus 114 such as a personal or mini computer. The processor 102 is  
4 preferably a microprocessor such as a Sun Sparc, PowerPC or an Intel Pentium; the  
5 output device 108 is preferably a video monitor; and the input device 106 is preferably a  
6 keyboard and mouse-type controller. The memory 104 is preferably random access  
7 memory (RAM) and read-only memory (ROM). The processor 102 is also coupled to  
8 the network interface 112 in a conventional manner for connection to a network via line  
9 116 and other computers such as via a local area network, wide area network or the  
10 Internet. In an exemplary embodiment, the object-relational mapping system 100  
11 operates on an IBM-type personal computer. Those skilled in the art will realize that  
12 the object-relational mapping system 100 could also be implemented as any one of a  
13 variety of other computers such as those made by Apple, Sun, Digital Equipment  
14 Corporation or IBM.

15 The object-relational mapping system 100 of the present invention provides for  
16 the automatic and systematic exchange of data and commands between an object-  
17 oriented system and a relational system. The processor 102, under the guidance of  
18 instructions received from the memory 104 and from the user through the input device  
19 106, provides signals for the exchange of data and commands between an object-  
20 oriented system and a relational system. The memory 104 preferably includes an ORM  
21 Grammar 200, an ORM Specification 202, Object Class Definitions 204, a relational  
22 database management system (RDBMS) 206, an operating system 208, a Database

1 Exchange Unit 210, a schema generator 212, RDBMS tables and ORMMetadata Tables  
2 214, applications 216, a GUI or text editor 218, a schema reverse-engineering unit 220,  
3 an ORM template specification 222, a Metadata ORM specification 224, and Named  
4 Sequence Generators 226. The operation interaction of the above modules of the  
5 present invention provides for the automatic, systematic exchange of data between an  
6 object- oriented system and a relational system. In particular, the present invention  
7 with the above modules allows for: 1) the generation of modules (ORM Data Structure)  
8 for translation of data from an objectoriented system to a relational system based on an  
9 ORM specification; 2) the generation of an ORM specification and an Object Class  
10 Definitions from a database schema; 3) the generation of a relational schema from an  
11 ORM Specification and Object Class Definitions; and 4) the transfer of data between the  
12 object-oriented system and the relational system. Those skilled in the art will realize  
13 that various equivalent combinations of devices can achieve the same results when used  
14 in accordance with the present invention. For example, while the memory blocks 200,  
15 202, 204, 206, 208, 210, 212, 214, 216, 218, 220, 222, 224 and 226 are shown as separate,  
16 units and coupled to each other and other components by the bus 114, they can easily  
17 comprise different regions of a contiguous space in memory. While the memory blocks  
18 200, 202, 204, 206, 208, 210, 212, 214, 216, 218, 220, 222, 224 and 226 will now be  
19 described as routines or modules, and therefore primarily defined by their  
20 functionality, those skilled in the art will recognize that the particular modules or  
21 portions could be implemented in hardware as an alternate embodiment.

1       The system and methods of the present invention are particularly advantageous  
2       because of the use of an innovative ORM Grammar 200 to define the mapping between  
3       an object-oriented system and a relational system. Such an exemplary grammar is  
4       shown in Figure 17. Furthermore, Appendix A provides more detail on the ORM  
5       Grammar 200 including the syntax, an explanation and an example. The ORM  
6       Grammar 200 the rules for textually describing an Object-Relational Mapping (ORM) in  
7       a declarative way. The specification of mapping information using this innovative  
8       Grammar 200 allows the mapping information to be stored conveniently and easily in  
9       an operating system file and also as part of the relational database, as will be discussed  
10      in more detail below with reference to Figure 10. This ORM Grammar 200 is shown in  
11      detail in Figure 17. While the ORM Grammar 200 is shown as being stored in memory,  
12      the ORM Grammar 200 may in alternate embodiments be used to generate and  
13      interpret an ORM Specification 202 without being stored in memory 104. The ORM  
14      Grammar 200 of the present invention is particularly advantageous because it provides  
15      an extensible textual system in which it is very easy for the user to add new constructs  
16      for specifying mappings between the relational model and the object model. For  
17      example, one can easily add new rules to define mappings other than those provided in  
18      Figure 17. Such examples for extending the ORM Grammar 200, descriptions of their  
19      functionality and the API are provided in Appendix D.

20       Referring again to Figure 2, the memory 104 is shown as including an ORM  
21      Specification 202. An ORM Specification 202 is a textual specification of an instance of  
22      object-relational mapping information based on the ORM Grammar 200. In other

1 words, the ORM Specification 202 defines how data is mapped from the object-oriented  
2 system to the relational system and vice versa. The present invention is particularly  
3 advantageous because of the use and structure of the ORM Specification 202. More  
4 specifically, the ORM Specification 202 is preferably a text file or ORM file, and specifies  
5 the mapping using the ORM Grammar 200 discussed above and shown in Figure 17. In  
6 the preferred embodiment, the ORM Specification 202 can be indexed or referred to by  
7 using an ORMID. Since the ORM Specification 202 is described textually, it can be  
8 stored easily in memory 104, a storage device 110 or even as part of a relational  
9 database. Furthermore, because the ORM Specification 202 is described textually there  
10 is no special software required to create, view and edit the ORM Specification 202. Any  
11 one of a variety of text editor or graphical user interfaces can be used to create, modify  
12 and review ORM Specifications 202. One such exemplary ORM Specification 202 is  
13 shown in Figure 18.

14 The memory 104 also includes Object Class Definitions 204 that define an object  
15 model. Object Class Definitions 204 describe an object model in an object-oriented  
16 language such as Java. The Object Class Definitions 204 describe the object model in a  
17 conventional manner such that objects are instances of classes. The Object Class  
18 Definitions 204 essentially define the object-oriented system and formatting for data  
19 that is transferred from or to the object-oriented system. One such exemplary set of  
20 Object Class Definitions 204 is graphically depicted in Figure 19, and the concept typical  
21 of such object models are described for the particular example in Appendix B.

1 Also included in the memory 104 is a relational database management system  
2 (RDBMS) 206. The RDBMS 206 may be any one of a conventional type such as Oracle,  
3 Sybase, Informix, Microsoft SQL server, and IBM DB2. The RDBMS 206 preferably  
4 includes routines and modules for storing, retrieving and editing data maintained in a  
5 relational system such as may be present on the storage device 110 or distributed over  
6 the network and accessible via the network interface 112.

7 The memory 104 also includes one or more operating systems 208. The  
8 operating system is preferably a conventional personal computer operating system such  
9 as UNIX, or DOS and Windows sold by Microsoft Corporation. Alternatively, the  
10 present invention could use other conventional operating systems such as OS/2 or  
11 System 8.0 for the Macintosh by Apple Computer.

12 A Database Exchange Unit 210 is also included in the memory 104. The Database  
13 Exchange Unit 210 is the routine or module that controls the processor 102 to perform  
14 the actual exchange of data from the relational system to the object-oriented system. At  
15 the highest level, it includes programs and routines that operate to translate data from  
16 the object model to the relational model and vice versa. The Database Exchange Unit  
17 210 is initialized using an ORM Data Structure Creation Unit 302 as will be described in  
18 more detail below, and once initialized, operates independently using the OR Mapping  
19 Unit 304 to perform data and command translation.

20 The Schema Generator 212 is also stored in memory 104. The Schema Generator  
21 212 is a tool or routines for controlling the processor 102 to generate the relational  
22 database schema (table definitions, constraint definitions) as well as metadata

1 information corresponding to the Object Class Definitions 204 and the ORM Data  
 2 Structures 308 during initialization. After the relational database schema have been  
 3 created, they are stored in memory 104 or the RDBMS 206 and used by OR Mapping  
 4 Unit 304 to map object calls to database requests. The Schema Generator 212 (e. g.,  
 5 JDXSchema in Java) is preferably invoked with the command:

6 0150 java JDXSchema [-metaCreate | -metaInit | -init] <ORMFile>

7 This Schema Generator 212 takes the name of the file (e. g., abc.jdx) containing the  
 8 Object-Relational Mapping information as input, and generates three script files  
 9 containing the SQL DDL statements for creating the required tables and their primary  
 10 keys (abc.jdx.create); foreign key constraints (abc.jdx.alter); and dropping those  
 11 constraints and tables (abc.jdx.drop). Before using the database 206 for Object-  
 12 Relational Mapping (for the very first time), the flag -metaCreate is used. This causes  
 13 the ORMMetadata Tables 214 to be created in the database 206. These ORMMetadata  
 14 Tables 214 are subsequently used to store the Object-Relational Mapping information.  
 15 By using the flag -metainit one can store just the Object-Relational Mapping information  
 16 (metadata) in the database 206 corresponding to a given ORMID. This is useful when  
 17 the database tables for user objects do not need to be (re-) created. By using the flag -  
 18 init, the above scripts are automatically executed against the database 206 such that the  
 19 user can start creating and using persistent user objects against the database in Java  
 20 programs. The flag -init also stores the Object-Relational Mapping information  
 21 (metadata) in the database 206 corresponding to the given ORMID in <ORMFile>.  
 22 Only one of the three options (metaCreate, metaInit, init) may be specified.

The memory 104 also includes RDBMS Tables and ORMMetadata Tables 214.

The RDBMS Tables 214 basically define the relational model just as the Object Class Definitions 204 define the object model. In other words, the RDBMS Tables 214 set forth the organization and structure of the data in the relational model in addition to describing certain functionality provided by the relational model. The RDBMS Tables 214 hold persistent data for the objects which are instances of the Object Class Definitions 204.

The present invention also stores multiple ORM Specifications 202 in the ORMMetadata Tables 214 that are part of the database 206. The ORMMetadata Tables 214 hold persistent data for the ORM Specification 202 and Named Sequence Generator 226. Most modern RDBMSs support a data type of LONGVARCHAR (e.g., 'text' in Sybase RDBMS) which can accommodate large textual data. Having a database table ORMMetadata 214 with the following structure provides a convenient way to store the Object-Relational Mapping information. For example, the command

CREATE TABLE ORMMetadata (ORMId varchar(80), MetaInfo  
LONGVARCHAR, MetaFileName varchar(80) CONSTRAINT  
PK\_ORMMetadata PRIMARY KEY (ORMId));

can be used to store the ORM Specification 202 in the database 206, where the ORMId (defined below with reference to Figure 10) identifies the specification uniquely. The text of the ORM File goes into the MetaInfo field, and the MetaFileName field is initialized with the ORM File name for recording purpose. Even if the RDBMS 206 does not support a LONGVARCHAR style field, it is easy to store the MetaInfo text in

multiple rows of a separate table with a varchar(255) field and an ORMIId field. The ORMIId field has the same value for all the records holding chunks of MetaInfo for a particular ORMIId. A time stamp field is preferably added to the ORMMetadata Tables 214 to keep track of when the particular mapping information was created. A version field that identifies the version of the grammar describing the Object-Relational Mapping information stored in MetaInfo field is also included in the ORMMetadata Tables 214. The version information is employed to correct the interpreter for the specification because the ORM Grammar 200 of the specification may change to accommodate new functionality.

Thus, the ORMMetadata Table 214 provides a very convenient facility to store multiple Object-Relational Mapping information, each identifiable uniquely through ORMIds. It also provides an elegant way of partitioning the object view of the underlying relational data. The ORMMetadata Tables 214 also include a table for storing sequence number information as will be described below with reference to the Named Sequence Generators 226.

The memory 104 also includes applications 216 and a text editor or graphical user interface 218. The applications 216 may be any one of a conventional type written in object-oriented languages such as Java. The applications 216 are routines or modules that allow the user to access data in the system 100. Also included in memory 104 is a conventional text editor or graphical user interface 218. The text editor or graphical user interface 218 may be any one of a number of conventional editing interfaces. As noted above, since the ORM Specification 202 is a text file, any type of text editors or



1 graphical user interfaces 218 may be included in the system 100, and thereby be used to  
2 change the ORM Specification 202 and thus how data and commands are exchanged  
3 between the relational system and the object-oriented system.

4 The memory 104 also includes a Schema Reverse Engineering Unit 220. The  
5 Schema Reverse Engineering Unit 220 is a routine or tool for creating Object Class  
6 Definitions 204 and ORM Specification 202 using a database schema (table definitions,  
7 constraint definitions) for a set of tables. The Schema Reverse Engineering Unit 220  
8 provides the user, given a relational model, with the ability to generate the ORM  
9 Specification 202 for translating between the given relational model and an object-  
10 oriented model. The Schema Reverse Engineering Unit 220 also generates or defines  
11 the object-oriented model by creating the Object Class Definitions 204. The operation of  
12 the tools forming the Schema Reverse Engineering Unit 220 will be described in more  
13 detail below with reference to Figure 13.

14 Also included in the memory 104 is an ORM Template Specification 222. The  
15 ORM Template Specification 222 is a module that is used in conjunction with the  
16 Schema Reverse Engineering Unit 220. The ORM Template Specification 222 provides  
17 data that along with information about the relational model is used by the Schema  
18 Reverse Engineering Unit 220 to produce the Object Class Definitions 204 and the ORM  
19 Specification 202. The ORM Template Specification 222 is a simplified ORM  
20 Specification 202 including the names of the tables to be considered for generating  
21 Object Class Definitions 204. The metadata information about named tables in the  
22 RDBMS 206 is used to create Object Class Definitions 204.

18

1           The memory 104 further includes a Metadata ORM Specification 224. The  
2   Metadata ORM Specification 224 is a specification like the ORM Specification 202 that  
3   can be used to generate data structures for the object relational mapping. In particular,  
4   the Metadata ORM Specification 224 is preferably a predefined ORM Specification  
5   describing the object-relational mapping between metadata objects and ORMMetadata  
6   Tables 214 which store information about ORM Specification 202 and Named Sequence  
7   Generators 226.

8           Finally, the memory 104 includes Named Sequence Generators 226. Named  
9   Sequence Generators 226 are routines or modules that generate persistently unique  
10   sequence numbers. These unique sequence numbers are used in turn by the  
11   applications 216 to store unique objects in a database 206. Since the numbers are  
12   persistently unique, this ensures that old or existing objects will have different  
13   identification numbers. In the present invention, there is a unique declarative method  
14   for specifying named sequences, and the Named Sequence Generators 226 manage and  
15   control the use and creation of sequence numbers to ensure that there are no conflicts  
16   with existing sequence or identification number for objects, even among different  
17   applications. The present invention is advantageous because like the Grammar 200, the  
18   Named Sequence Generators 226 provide a declarative way of defining named  
19   sequences that can be used to generate persistently unique sequence numbers in an  
20   efficient way. These sequence numbers, among other things, can be used to assign  
21   unique ids to different objects. The Named Sequence Generators 226 of the present  
22   invention are particularly advantageous for a number of reasons. First, the grammar

1 for specifying sequence generators is simple and intuitive. Second, the declarative way  
2 of specifying the sequences is a compatible and convenient way of defining sequences  
3 along with Object Relational Mapping information. Third, the way sequences are  
4 named by the Named Sequence Generators 226 is done in a manner convenient for  
5 application programmers because it uses meaningful names (e. g., imageIdSequences)  
6 for the sequence generators, and allows the creation of multiple sequence number  
7 domains (e. g., partIdSequences, customerIdSequences, etc.). Fourth, the Named  
8 Sequence Generators 226 allow the user to specify a starting value for a sequence  
9 generator. This is very advantageous because for already existing data with a home-  
10 grown way of generating sequence numbers, the new method may be employed with a  
11 starting value of 1 more than the maximum value in the current set of data; and from  
12 problem domain or business needs a starting value other than 1 may be more  
13 appropriate such as when a new company starts its invoice numbers from 1001 or when  
14 4 billion starts are already identified and documented and a new application for  
15 creating entries for new starts may use a sequence generator with a starting value of 4  
16 billion and 1. Finally, the declaration of a sequence is database independent, and the  
17 sequence generator implementation mechanism can work with any backend relational  
18 database.

19 Also included with the Named Sequence Generators 226 are routines,  
20 specifically, an API (getNextSequence) to get the next set of persistence sequence  
21 numbers. The feature of specifying an increment in the API call of getNextSequence  
22 enables an efficient generation of sequence numbers without requiring a database call

1 for every new sequence number. The calling application can safely assume that no  
2 other application would get the next sequence number in the range of the returned  
3 sequence number  $n$  to  $n + \text{increment} - 1$  (both inclusive). The method for servicing the  
4 API is described below in more detail with reference to Figure 22.

5 Referring now to Figure 3, the present invention is best shown as being the  
6 coupling element between a relational system and an object-oriented system. In normal  
7 operation, the Database Exchange Unit 210 controls the transfer of data and commands  
8 between an application 216 (object-oriented system) and the RDBMS 206 (relational  
9 system). The Database Exchange Unit 210 is coupled to receive and send data and  
10 commands to and from the application 216 using conventional object-oriented  
11 techniques, such as constructs provided by an OOP language such as Java. The  
12 Database Exchange Unit 210 formats and structures the data and commands into and  
13 from a format suitable for an object-oriented system. Similarly, the Database Exchange  
14 Unit 210 is coupled to the RDBMS 206 to receive and send data and commands to and  
15 from the RDBMS 206 in a format suitable for relational systems. More particularly, the  
16 Database Exchange Unit 210 generates queries, inserts, updates and deletions, to fetch  
17 or modify the data in the RDBMS 206 based on the object calls received from the  
18 application 216. The Database Exchange Unit 210 is also coupled to the Object Class  
19 Definitions 204 and the ORM Specification 202. The Database Exchange Unit 210  
20 interacts with the Object Class Definitions 204 during both initialization and normal  
21 operation to perform the exchange of data and commands between the application 216  
22 and the RDBMS 206. The Database Exchange Unit 210 is also coupled to the ORM

1 Specification 202, but only interacts with the ORM Specification 202 as will be described  
2 in more detail below with reference to Figures 5 and Figure 11.

3 Referring now to Figure 4, the Database Exchange Unit 210 is shown in more  
4 detail. The Database Exchange Unit 210 preferably comprises an Object Call Processing  
5 Unit 300, an ORM Data Structure Creation Unit 302, an OR, Mapping Unit 304, a  
6 Database Interface Unit 306, and an ORM Data Structures 308. Each unit 300, 302, 304,  
7 306 and 308 is preferably a routine or module stored in a block of memory 104 for  
8 controlling the processor 102 to perform the operations as will be described below. The  
9 units 300, 302, 304, 306 and 308 are coupled by bus 114 as shown, and their operation,  
10 exchange of data and functionality will be described below with reference to Figure 5.  
11 Those skilled in the art will recognize that while the memory blocks are shown as  
12 separate 300, 302, 304, 306 and 308, and coupled to each other and other components by  
13 the bus 114, they can easily comprise different regions of a contiguous space in memory  
14 104.

15 The operation of the Database Exchange Unit 210 and its components is best  
16 shown in Figure 5. Figure 5 is a block diagram of Database Exchange Unit 210  
17 constructed as modules and showing the coupling utilized by their functionality. In  
18 Figure 5, the couplings used for the transfer of data for initialization and the creation of  
19 the ORM Data Structures 308 are shown by dashed lines for ease of understanding. As  
20 noted above, the Database Exchange Unit 210 is delineated in Figure 5 by a dashed  
21 block and comprises an Object Call Processing Unit 300, an ORM Data Structure  
22 Creation Unit 302, an OR Mapping Unit 304, a Database Interface Unit 306, and an

1 ORM Data Structures 308. The Object Class Definitions 204 and the ORM Specification  
 2 202 are stored in another portion of memory as has been described above with reference  
 3 to Figure 2.

4 The ORM Data Structures 308 are in-memory data structures built from the ORM  
 5 Specification 202 and Object Class Definitions 204. The ORM Data Structures 308 are  
 6 used in the translating of object calls to relational data and commands. More  
 7 specifically, the ORM Data Structures 308 preferably include the following data  
 8 structures to store various information about the object-relational mapping:

T,0030

Data Structure	Description
<u>ColumnInfo</u>	Stores the relational column information - name, SQL type etc.
<u>TableInfo</u>	Stores the tableName, the list of ColumnInfo corresponding to its columns etc.
<u>AttribInfo</u>	Stores the following information about a class attribute: name, type, ColumnInfo etc.
<u>ComplexAttribInfo</u>	In addition to AttribInfo information, it also stores the information about the referenced object (or collection), the referencing attributes, the containment of the referenced object etc.
<u>ReferenceKeyInfo</u>	Stores information about the primary and reference keys of a class.
<u>ClassInfo</u>	Stores the className, reference to TableInfo, list of AttribInfo, list of ComplexAttribInfo, etc.
<u>CollectionClassInfo</u>	In addition to ClassInfo information for its element class, it also stores the information about collection type, containment type and order by attributes, etc.
<u>DatabaseInfo</u>	Stores url, ORMId, list of ClassInfo, list of TableInfo, list of database connections, etc.

9  
 10 The ORM Data Structure Creation Unit 302 is preferably coupled to the Object  
 11 Class Definitions 204 and the ORM Specification 202 to receive the object model and the

1 mapping between the relational system and the object-oriented system, respectively.

2 The ORM Data Structure Creation Unit 302 is also coupled to the Database Interface

3 Unit 306 for accessing the RDBMS 206 to receive schema that describe how the

4 relational system organizes data. Using this information, the ORM Data Structure

5 Creation Unit 302 generates the ORM Data Structures 308. The ORM Data Structure

6 Creation Unit 302 is preferably routines or tools to generate the ORM Data Structures

7 308 using an ORM Specification 202 (coming from either an ORM file or Metainfo in the

8 ORMMetadata Tables 214 ) and Object Class Definitions 204. In one embodiment, the

9 ORM Data Structure Creation Unit 302 parses the ORM Specification 202 as per the

10 ORM Grammar 200 specified and builds the ORM Data Structures 308. Each construct

11 of the ORM Grammar 200 is used to create the data structures. For example, each

12 <CLASS-SPEC> creates an instance of ClassInfo. Each <COLLECTION-CLASS-SPEC>

13 creates an instance of CollectionClassInfo. The reflection facility of the language may be

14 used to create the instances of AttrbInfo. <PRIMARY-KEY-SPEC> and <REFERENCE-

15 KEY-SPEC> are used to create instances of ReferenceKeyInfo. <RELATIONSHIP-

16 SPEC> is used to create the instances of <ComplexAttrbInfo>. Once the internal data

17 structures have been built using data from the ORM Specification 202, each class is fully

18 understood in terms of its different components and how they can be stored and

19 retrieved using the Object Class Definitions 204. Next, the ORM Data Structure

20 Creation Unit 302 retrieves user objects of these classes and generates appropriate SQL

21 statements to insert, update or delete various components of those objects. If objects

22 need to be retrieved, the top-level objects are retrieved first (based on an optional search

condition) and then their complex attributes are initialized after fetching the appropriate referenced objects as per the mapping information.

The remaining portions of the Database Exchange Unit 210, in addition to the ORM Data Structures 308, are used for the actual transfer of data and commands from the object-oriented system to the relational system, and vice versa. The Object Call Processing Unit 300 is coupled by line 250 to the application 216 to receive object-oriented commands/calls and data from the application 216. The Object Call Processing Unit 300 is also coupled to the OR Mapping Unit 304. The Object Call Processing Unit 300 receives calls and begins the translation of the object calls so that they can be executed on the relational system. More particularly, the Object Call Processing Unit 300 intercepts Application Programming Interface (API) level calls for object manipulation (query, insert, update, delete etc.) and executes those using OR Mapping Unit 304. Furthermore, a set of exemplary API calls which the Object Call Processing Unit 300 is responsive to are shown in Appendix C.

The OR Mapping Unit 304 is coupled to the Object Class Definitions 204, the ORM Data Structures 308, the Database Interface Unit 306, in addition to the Object Call Processing Unit 300. The OR Mapping Unit 304 is preferably routines or tools for performing the object-relational mapping using the ORM Data Structures 308, user objects (from the Object Class Definitions 204) and relational data (from the Database Interface Unit 306). The operation of the OR Mapping Unit 304 is described in more detail below with reference to Figures 14A and 14B. It should be noted that the Database Interface Unit 306 is used by the OR Mapping Unit 304 to access data from the



1 relational database. The Schema Generator 212 may optionally use the Database  
2 Exchange Unit 210 to initialize the ORMMetadata Tables 214 with ORM Specification  
3 202 and Named Sequence Generators 228.

4 Referring now to Figure 6, a block diagram of a first embodiment of the Schema  
5 Generator 212 is shown. For convenience and ease of understanding, like reference  
6 numerals have been used to reference like parts. Furthermore, while shown and  
7 described below as being included as part of the Schema Generator 212 in this  
8 embodiment, certain modules such as the ORM Data Structure Creation Unit 302, ORM  
9 Data Structures 308 and Database Interface Unit 306 could alternatively be part of the  
10 Database Exchange Unit 210 as described above, and omitted from the Schema  
11 Generator 212. In such an embodiment, the ORM Data Structure Creation Unit 302,  
12 ORM Data Structures 308 and Database Interface Unit 306 in the Database Exchange  
13 Unit 210 would be used by the Schema Generator 212.

14 The Schema Generator 212 preferably comprises the ORM Data Structure  
15 Creation Unit 302, the ORM Data Structures 308, the Database Interface Unit 306, a  
16 Relational Schema Statements Generation Unit 602, Script Files Containing Relational  
17 Schema Statements 604 and a Relational Schema Statements Application Unit 606. Each  
18 unit 302, 306, 308, 602, 604 and 606 is preferably a routine or file stored in a block of  
19 memory 104 for controlling the processor 102 to perform the operations as will be  
20 described below. The ORM Specification (an ORMFile) 202 may be used to create the  
21 database schema, and this is done by the Schema Generator 212. The tables in the  
22 schema are preferably used to hold the data for the class objects. The units 302, 306, 308,

602, 604 and 606 are coupled by bus 114 as shown, and their operation and functionality will be described below with reference to Figure 7.

Figure 7 is a block diagram of a second embodiment of the Schema Generator 212 constructed as modules. The operation of the Schema Generator 212 can best be understood by reference to the operation according to Figure 7. As shown in Figure 7, the ORM Data Structure Creation Unit 302 is coupled to the Object Class Definitions 204, the ORM Specification 202 and the Metadata ORM Specification 224. The Object Class Definitions 204, the ORM Specification 202 and the Metadata ORM Specification 224 are preferably stored in another portion of memory 104 as has been described above with reference to Figure 2. The ORM Data Structure Creation Unit 302 uses the Object Class Definitions 204 and either the ORM Specification 202 or the Metadata ORM Specification 224 to generate an ORM Data Structures 308 as has been described above with reference to Figure 5. The ORM Data Structures 308 created for Metadata ORM Specification 224 can be used to store ORM Specification 202 and Named Sequence Generators 226 as information in ORMMetadata Tables 214. The ORM Data Structures 308 has a format and content as described above. The ORM Data Structures 308 is coupled as an input to the Relational Schema Statements Generation Unit 602. The Relational Schema Statements Generation Unit 602 is a routine or tools to produce statements that will produce the relational schema in the RBDMS 206. Using the information about the schema for the relational system contained in the ORM Data Structures 308, the Relational Schema Statements Generation Unit 602 produces the Script Files Containing Relational Schema Statements 604. The operation of the

1 Relational Schema Statements Generation Unit 602 is described in more detail below  
2 with reference to Figure 12. The output of the Relational Schema Statements Generation  
3 Unit 602 is coupled to the input of the Relational Schema Statements Application Unit  
4 606 to provide the Script Files Containing Relational Schema Statements 604 produced  
5 by the Relational Schema Statements Generation Unit 602. The Relational Schema  
6 Statements Application Unit 606 is also coupled to the Database Interface Unit 306 and  
7 applies the commands necessary to produce the schema in the database with the table  
8 names and definitions. More particularly, the Relational Schema Statements  
9 Application Unit 606 through the Database Interface Unit 306 issues the commands  
10 from Script Files Containing Relational Schema Statements 604 to the RDBMS 206.

11 Referring now to Figure 8, a block diagram of a first embodiment of the Schema  
12 Reverse Engineering Unit 220 is shown. As noted above, the Schema Reverse  
13 Engineering Unit 220 is a tool to create an ORM Specification 202 and Object Class  
14 Definitions 204 given a database schema. Again, for convenience and ease of  
15 understanding, like reference numerals have been used to reference like parts, and  
16 while shown and described below as being included as part of the Schema Reverse  
17 Engineering Unit 220 in this embodiment, certain modules such as the ORM Data  
18 Structures 308 and Database Interface Unit 306 could alternatively be part of the  
19 Database Exchange Unit 210 as described above. In such an alternate embodiment, the  
20 Schema Reverse Engineering Unit 220 uses the ORM Data Structures 308 and Database  
21 Interface Unit 306 in the Database Exchange Unit 210. The Schema Reverse Engineering  
22 Unit 220 preferably comprises the Database Interface Unit 306, the ORM Data

28

1 Structures 308, the Object Class Definitions Generation Unit 802, the ORM Specification  
2 Generation Unit 804, the Database Metadata Inquiry Unit 806 and the Reverse  
3 Engineering ORM Structure Creation Unit 808. These modules 306, 308, 802, 804, 806,  
4 808 are preferably routines stored in memory 104 and coupled by bus 114.

5 Referring now to Figure 9, a block diagram of a second embodiment of the  
6 Schema Reverse Engineering Unit 220 is shown. The ORM Template Specification 222  
7 is a simplified ORM Specification with the names of tables to be considered or used for  
8 generating Object Class Definitions 204 and is provided to the Reverse Engineering  
9 ORM Structure Creation Unit 808. The Reverse Engineering ORM Structure Creation  
10 Unit 808 is also coupled to the RDBMS 206 (not shown in Figure 9) by the Database  
11 Metadata Inquiry Unit 806 and the Database Interface Unit 306. The Database Metadata  
12 Inquiry Unit 806 accesses the RDBMS 206 using the Database Interface Unit 306 to  
13 retrieve metadata including the schema for the relational model and information about  
14 the object model. The Database Metadata Inquiry Unit 806 provides the metadata to the  
15 Reverse Engineering ORM Structure Creation Unit 808. The Reverse Engineering ORM  
16 Structure Creation Unit 808 receives the ORM Template Specification 222. The ORM  
17 template information contains the names of the tables to be used for generating Object  
18 Class Definitions 204. The Reverse Engineering ORM Structure Creation Unit 808 uses  
19 the basic ORM template information along with the metadata to produce ORM Data  
20 Structures 308. The ORM Data Structures 308 is in turn used by the Object Class  
21 Definitions Generation Unit 802 and the ORM Specification Generation Unit 804 to  
22 produce the Object Class Definitions 204 and the ORM Specification 202, respectively.

1 The operation of the components of the Reverse Engineering ORM Structure Creation  
2 Unit 808 is described in more detail with reference to Figure 13 below.

3 One advantage of the present invention is that the structure of the ORM  
4 Specification 202 provides a very convenient way of experimenting with an Object-  
5 Relational Mapping. Since the ORM Specification 202 is stored as a text file, and the  
6 Database Exchange Unit 210 can be easily generated from the ORM Specification 202,  
7 the user can continue to refine and use the ORM Specification 202 until the user is  
8 satisfied with the results of Object-Relational Mapping. Furthermore, after the user is  
9 satisfied with the ORM Specification 202, the present invention stores this information  
10 at a common place such that many other applications or instances of the same  
11 application running on different machines can utilize this information. More  
12 specifically, the present invention stores it in the ORMMetadata Tables 214 that are part  
13 of the database 206.

14 Referring now to Figure 10, a flow chart of the preferred process for generating  
15 ORM Data Structures 308 according to the present invention from an ORM Id or ORM  
16 Specification (ORM file) 202 is shown. Each ORM specification 202 for mapping object  
17 classes into relational data is uniquely identified in the present invention by an object-  
18 relational mapping identification name (ORMId). An ORMId defines a view of objects  
19 over a set of tables. There may be multiple such views (overlapping or non-  
20 overlapping) identified by different ORMIds on the same database. ORMIds help in  
21 partitioning the relational data into different object spaces. The application 216 just

needs to deal with only the relevant partition instead of worrying about all the tables and all the different classes defined using those tables. The ORMId is preferably part of the <DATABASE-URL> defined in Appendix B.

With the present invention, the user is able to indicate that a specific file should be used to determine the mapping between the object model and the relational model.

The user must either specify a particular file by providing an ORM file or provide an identification to an existing ORM Specification 202 stored in the database 206.

Otherwise a default ORM Id for a default ORM Specification 202 stored in the database 206 will be used. The process begins with step 1000 by determining whether an ORM file has been specified. If an ORM file has been specified, then the method proceeds to step 1002 where the process is set to use the ORM file specified. This is done by setting the variable ORMFileName to the specified file. After step 1002, the method proceeds to step 1004 where the method uses the ORM Specification 202 corresponding to the ORMFileName to create an ORM Data Structures 308. The operations performed by the system 100 in step 1004 will be provided in more detail below with reference to Figure

11. After step 1004, the process is complete and ends. On the other hand, if in step 1000, an ORM file was not specified, then the method continues to step 1006. In step 1006, the method determines whether an ORM identification (Id) has been specified. If an ORM Id has been specified, method continues in step 1008 and uses the given ORM Id to set the variable ORMID. If an ORM Id has not been specified, the method continues in step 1010, and uses a default ORM Id to set the variable ORMID. For example, the default ORM Id is the string "defaultORMId". After either step 1008 or

1 step 1010 the method proceeds to step 1012. In step 1012, for the variable ORMID, the  
2 method extracts MetaInfo from the database table, ORMMetadata Tables 214, into a  
3 local file. Then in step 1014, the method sets the variable ORMFileName to the name of  
4 the local file created in step 1012. After step 1014, the method proceeds to step 1004 and  
5 creates the ORM Data Structures 308 as has been described above. As can be seen from  
6 steps 1000 to 1014, the method of the present invention is particularly advantageous  
7 because by providing the system 100 with an ORM file, or an ORM Id or just using the  
8 default ORM Id, the system 100 can generate the ORM Data Structures 308 which  
9 specifies the mapping between the relational model and the object-oriented model. This  
10 is particularly advantageous because it allows the translation between the object-  
11 oriented model and relational model to be stored in a persistent manner either within  
12 the database 206, on the network (not shown) and accessed via the network interface  
13 112, or in any one of a number of storage devices 110 coupled to the system 100.

14 When an object-oriented application program 216 wants to use a particular  
15 Object-Relational Mapping specification, it just has to specify the corresponding  
16 ORMId. Then it is a matter of retrieving the MetaInfo into a temporary file and using  
17 the process described above with reference to Figure 10 to provide Object-Relational  
18 Mapping functionality. With some optional cleverness, a character stream may be  
19 realized over the MetaInfo field and the creation of a temporary file may be avoided.  
20 Even after permanently storing the Object-Relational Mapping information in the  
21 database 206, it may be possible to override that by specifying <ORMFile> clause in the  
22 <DATABASE-SPEC>. This gives a very innovative way of doing program

32

1 development and refinement. The old programs continue to work with the stored  
2 specification while the new development may be happening with the new specifications  
3 in local text files.

4 Referring now to Figure 11, a flow chart of the preferred process for generating  
5 the ORM Data Structures 308 according the present invention using an ORM  
6 Specification 202 and Object Class Definitions 204 is shown. This method corresponds  
7 to step 1004 of Figure 10, and includes the steps necessary to create instances of each of  
8 the ORM Data Structures 308. Each of these ORM Data Structures 308 has been defined  
9 above in the discussion of Figure 2. The method begins in step 1102 by creating an  
10 instance of DatabaseInfo. Next in step 1104, the method continues by creating an  
11 instance of TableInfo and ClassInfo for each class specification. Then the method  
12 continues by creating an instance of CollectionClassInfo for each collection specification.  
13 Then in step 1108, the method creates the instances of Attribinfo for each ClassInfo.  
14 Next in step 1110, the process uses any SQL map specification to override the default  
15 mappings between the columns and attributes. Then in step 1112, the method uses  
16 Primary-Key and Reference-Key specifications to create instances of ReferenceKeyinfo.  
17 The method continues in step 1114, by using the relationship specification to create  
18 instances of ComplexAttributeInfo. Then in step 1116 the system 100 retrieves  
19 Metadata from the database 206 to enhance TableInfo with instances of ColumnInfo.  
20 Next in step 1118, the method matches AttributeInfo with ColumnInfo. Then after step  
21 1118, the method in step 1120 generates SQL statements for each class using the  
22 instances of TableInfo and ColumnInfo. Finally, in step 1122, the system 100 generates



1 insert and update statements in parameterized form after which the process ends.

2 Referring now to Figure 12, a flow chart of the preferred process for generating  
3 relational schema from an ORM Specification 202 and Object Class Definitions 204 is  
4 shown. As indicated Figure 12, the preferred process for generating relational schema  
5 includes many of the same process steps described above with reference to Figure 11 for  
6 the process of generating the ORM Data Structures 308. For convenience and ease of  
7 understanding, like reference numerals are used to indicate like steps including steps  
8 1102 through 1114 which are preferably identical to the steps described above with  
9 reference to Figure 11. Thus, the preferred method for generating relational schema  
10 from the ORM Specification 202 and the Object Class Definitions 204 begins by  
11 performing steps 1102 through 1114. However, after step 1114, the method of Figure 12  
12 continues in step 1202. In step 1202, the method adds ColumnInfo in TableInfo for each  
13 primitive and embedded attribute of each ClassInfo. Then in step 1204, the system 100  
14 generates a create table statement and primary key constraint statement in a CREATE  
15 file for each TableInfo. Next in step 1206, the method generates unique key and  
16 referential key constraint statements in an ALTER file for each ClassInfo. Then in step  
17 1208, the method generates alter table drop constraint statements and drop table  
18 statements in a DROP file for each ClassInfo. Finally in step 1210, the method executes  
19 the CREATE, ALTER and DROP files to modify the database 206.

20 Referring now to Figure 13, a flow chart of the preferred process for generating  
21 an ORM Specification 202 and Object Class Definitions 204 from a database schema is  
22 shown. The process for generating an ORM Specification 202 begins in step 1302 by

1 creating an instance of DatabaseInfo. The method continues in step 1304 by creating an  
2 instance of ClassInfo and TableInfo for each class in the ORM Template Specification  
3 222. The method then proceeds to step 1306 where a metadata query and creation of  
4 ColumnInfo and AttributeInfo in the corresponding ClassInfo are performed for each  
5 instance of TableInfo. Next in step 1308, the system 100 performs a metadata query to  
6 get the PrimaryKeyInfo for each ClassInfo. Then in step 1310, the method performs the  
7 metadata query to get the foreign key information and creates the corresponding  
8 ComplexAttributeInfo for each instance of ClassInfo. Then in step 1312, the method  
9 continues for each ClassInfo by generating the Object Class Definitions 204 using the  
10 AttributeInfo and ComplexAttributeInfo. Finally, in step 1314, an ORM Specification  
11 202 is created using the DatabaseInfo and all the instances of ClassInfo.

12 Referring now to Figures 14A and 14B, a flow chart of a preferred process for  
13 responding to an object call using the OR Mapping Unit 304 generated from the ORM  
14 Specification 202 is shown. The process begins in step 1402 with the system 100 testing  
15 whether an object call received through the Object Call Processing Unit 300 is a query.  
16 If the object call tested in step 1402 is determined to be a query, the method continues in  
17 step 1404. In step 1404, the method sets up the access planned data structure according  
18 to the query flags and query details in order to access referenced objects. Then in step  
19 1406, the system 100 retrieves the base SELECT statement from ClassInfo. Next in step  
20 1408, the method tests whether any predicate has been specified. If a predicate has been  
21 specified, the method continues from step 1408 to step 1410 before proceeding to step  
22 1412. In step 1410, the method, in particular the OR Mapping Unit 304, translates the

1 specified predicate and appends the predicate as a WHERE clause. If a predicate has  
2 not been specified, the method continues directly from step 1408 to step 1412. In step of  
3 1412, the SELECT statement including a WHERE clause, if any, produced by steps 1406  
4 through 1410 is issued against the database 206. Next, the method continues in step  
5 1414 by determining whether more rows are available from the database 206. If more  
6 rows are available from the database 206, the method continues in step 1416.  
7 Otherwise, the method proceeds to step 1424 and tests whether there are query objects  
8 from the subclasses. If there are additional query objects from the subclasses, the  
9 method returns to step 1406 to process the objects of subclasses. If there are not  
10 additional query objects from subclasses, then the method continues in step 1426 as will  
11 be described below.

12 If in step 1414, the process determined that more rows are available from the  
13 database 206, then the method continues to step 1416. In step 1416, the system 100  
14 fetches the next row and creates an instance of a top-level object. Then in step 1418, the  
15 system 100 sets the attribute values from the corresponding column values. Then in  
16 step 1420, the method creates the required foreign key entries and associates them with  
17 target class structures. After step 1420, the method continues in step 1422 to determine  
18 whether the specified number of top-level objects have been created. If the method  
19 determines that the specified number of top-level objects have not been created, then  
20 the method continues back to step 1414 and loops through steps 1416 to 1420 until a  
21 top-level object is created for each row in the database 206. On the other hand, if the  
22 method determines that the specified number of top-level objects have been created,

1 then the method continues in step 1426. As shown in Figure 14B, the method continues  
2 with step 1426 by creating a SELECT statement and a WHERE clause using the foreign  
3 keys for each referenced target class. After step 1426, the method retrieves rows, creates  
4 target objects and links them with the referencing complex attributes in step 1428. Then  
5 in step 1430, the method creates a foreign key entry for each complex attribute of the  
6 target class before proceeding to step 1432. In step 1432, the method tests whether there  
7 are more foreign keys for target classes. If there are, the method returns to step 1428  
8 and performs both step 1428 and 1430 for each foreign key. If it is determined in step  
9 1432 that there are no more foreign keys for the target class, the method proceeds to  
10 step 1434. In step 1434, the method returns the list of top-level objects to the user and  
11 then the method ends.

12 Referring back to Figure 14A, if the object call tested in step 1402 is determined  
13 not to be a query, then the method assumes that it is an insert object call and proceeds  
14 to step 1440. Those skilled in the art will recognize that the present invention described  
15 in Figures 14A and 14B could be modified to handle updates and deletes in a similar  
16 fashion. In step 1440, the method sets up an access plan data structure as per insert  
17 flags and insert details for the accessing referenced objects. Next in step 1442, the  
18 method retrieves the INSERT statement from ClassInfo. In step 1444, the preferred  
19 process prepares an INSERT statement for the current connection to the database 206.  
20 Then in step 1446, the method finds its value and binds it with the column position for  
21 each AttrInfo. Next in step 1448, the process executes the INSERT statement to store  
22 the top-level objects. Finally, in step 1450 the method determines whether there are

1 more non-null referenced objects to be inserted. If there are more non-null referenced  
2 objects to be inserted, the method returns to step 1442 to create an INSERT statement  
3 for each of them. If there are not any more non-null referenced objects, the processing  
4 of the insert object call is complete.

5 Referring now to Figure 15, the novel and unique method for performing objects  
6 streaming will be described. As shown in Figure 15, the process begins with step 1502  
7 by beginning a new transaction. Next in step 1504, the system 100 generates a query  
8 call to the Database Exchange Unit 210 for a plurality of objects with the streaming flag.  
9 The number of objects is preferably defined as  $n$ , and those skilled in the art will  
10 understand that the number of objects may be any number of objects that the user  
11 desires. Next in step 1506, the system 100 determines a query context (QC) for  
12 streaming. Then in step 1508, the system 100 invokes the query operation on the query  
13 context for a predetermined number ( $X$ ) of objects. In step 1510, the method opens and  
14 saves in the query context the query cursor for the table of top-level class objects. Then  
15 in step 1512 the system 100 initiates the query processing to fetch the predetermined  
16 number ( $X$ ) of objects. This is done by performing steps 1404 through 1434 as has been  
17 described above with reference to Figure 14. Then in step 1514, the query context is  
18 saved for this session of the Database Exchange Unit 210, and the objects are returned in  
19 step 1516. Next in step 1518 the objects are processed. In step 1520, the user may decide  
20 to get more objects through the current stream of objects. If the user does not want to  
21 get more objects through streaming, the method is moved to step 1522 by creating a  
22 "query close" call to the Database Exchange Unit 210. Then in step 1524, the saved

1 query cursor and query context are released. Finally, in step 1526, the "query close" call  
2 finishes and in step 1528 the transaction is committed to the database 206. If it is  
3 determined in step 1520 that the user decides to get more objects from the stream, then  
4 the preferred method proceeds through steps 1530 through 1538. In step 1530, the  
5 process generates a "query fetch" call for a second preferred number (m) of objects to  
6 the Database Exchange Unit 210. Next in step 1532, the process of the present invention  
7 invokes the query fetch operation on the saved query context for the second  
8 predetermined number of objects. In step 1534, the query cursor saved in the query  
9 context is retrieved for use. Then in step 1536, the query is processed to fetch m objects.  
10 Again, this step is identical to step 1512 and is done by performing steps 1404 to 1434.  
11 After step 1538, all of the m objects are returned and the process returns to step 1518 to  
12 process the m returned objects. After step 1518, the user may continue to use the stream  
13 of objects iteratively as described earlier until the whole stream is exhausted or the user  
14 does not need any more objects.

15 Referring now to Figure 16, a preferred method for using directed operations for  
16 a query operation is shown. This was described in the context of performing the step of  
17 creating the required foreign key entry and associating it with the target class structures  
18 from Figure 14A. Those skilled in the art will recognize that while the method for using  
19 directed operations is described here in the context of query operation, directed  
20 operations may be applied to insert, update and delete operations in a similar fashion.  
21 As shown in Figure 16, the sub-process begins in step 1604 by determining whether or  
22 not there are more complex attributes. If there are no more complex attributes, the

1 method is complete and continues onto step 1422 without any directed operations.  
2 However, if there are more complex attributes, then the method continues instead to  
3 1606. In step 1606, the method determines whether this attribute of the class needs to be  
4 accessed as per the plan data structure. If in step 1606, the method determines that this  
5 attribute of the class does not need to be accessed according to the plan data structure  
6 then the process moves to step 1610 where the complex attribute is ignored before  
7 returning to step 1604. Otherwise, a foreign key entry is created and associated with  
8 the target class structure in step 1608 before the method returns to step 1604. This way  
9 the foreign key entries are made only for those complex attributes which need to be  
10 accessed as per the plan data specified by the user.

11 Referring now to Figures 20A-20D, block diagrams of various embodiments for  
12 architectural configurations of the present invention in different tiers (parts) of  
13 applications using different relational database management systems 206 are shown. In  
14 the exemplary embodiments shown below, the Database Exchange Unit 210 is  
15 preferably implemented in Java. Thus a variety of other embodiments will be  
16 understood to those skilled in the art.

17 Figure 20A shows a stand alone application 2000 which is using the Database  
18 Exchange Unit 210, that is preferably a class libraries which execute as part of the  
19 application process in the same Java Virtual Machine Process (VMP). This mode may  
20 be useful during prototyping or simple applications. The same application can easily be  
21 split into 2 tiers with the Database Exchange Unit 210 providing the second tier. This is  
22 similar to how the Database Exchange Unit 210 has been described above.

1 Figure 20B shows a CORBA/RMI application server 2004 which is using  
2 Database Exchange Unit 210 as class libraries that execute as part of the application  
3 server process in the same Java VM. The server 2004 is serving multiple clients 2002a,  
4 2002b, 2002c, 2002d, and is using multiple back end RDBMSs 206a, 206b, 206c, and 206d.

5 Figure 20C shows multiple applications 216a, 216b, 216c attached to one Java  
6 Exchange server 2006 that is providing a multithreaded database exchange service for  
7 one RDBMS 206.

8 Figure 20D shows multiple applications 216a, 216b, 216c, 216d, 216e, 216f of  
9 which are attached to two different Database Exchange Units 210a, 210b that are  
10 providing database exchange services for multiple RDBMS 206a, 206b, 206c, 206d of  
11 different types. The Database Exchange Units 210a, 210b may be distributed over many  
12 machines to provide scalability. They may be co-located with an RDBMS 206 on the  
13 same machine (e.g., Database Exchange Unit 210b and Oracle RDBMS above) for  
14 improved data transfer.

15 Figures 21A-21B are graphic block diagrams of architectural configurations  
16 without and with the present invention. These Figures 21A-21B show the advantage of  
17 the present invention. Figure 21A shows the prior art where the database exchange  
18 units require hand coding to map the Java objects to existing RDBMSs. However, with  
19 the present invention all the hand coding is eliminated. With the simple declaration of  
20 the desired mappings between the object model and the relational model, the database  
21 exchange unit is automatically generated. Furthermore, the Database Exchange Unit



1 can be generated from an ORM Specification or reverse engineered from object models  
2 and relational schema.

3 Referring now to Figures 22A and 22B, flow charts of the preferred process for  
4 generating Named Sequence Generators 226 and using them to produce persistent  
5 object identification numbers are shown. As has been noted above, the Named  
6 Sequence Generators 226 preferably include an application program interface (API) for  
7 retrieving sequence numbers. For example, the API in Java is

8            "public long getNextSequence(String sequenceName, long  
9            increment) throws RemoteException, JDXException,"

10 In response, Named Sequence Generators 226 return the next available sequence  
11 number for the given 'sequenceName'. The persistent sequence number in the  
12 database 206 is incremented by 'increment' such that the calling application can safely  
13 assume that no other application would get the next sequence number in the range of  
14 (returned sequence number n, n+increment-1) both inclusive. In other words, this  
15 range (n, n+increment-1) presents a unique set of numbers with respect to the given  
16 sequenceName across all applications accessing the same RDBMS 206. These sequence  
17 numbers can typically be used to assign unique ids to different objects.

18 As shown in Figures 22A and 22B, the process has two portions: an initialization  
19 portion (design-time portion) and an execution portion (run-time portion). While the  
20 two portions of the process are shown and will be described as being performed in a  
21 linear manner, those skilled in the art will recognize that there may be a significant  
22 delay between performing initialization and performing execution. The initialization

1 process begins in step 2202 during schema generation as has been described above.  
2 During schema generation, the process creates a metadata table "jdxSequence" in the  
3 database 206. The metadata table "jdxSequence" preferably has the following format  
4 CREATE TABLE jdxSequence(seqName varchar(80), startingValue INT, jdxORMId  
5 varchar(80), maxIncrement INT, nextValue INT, CONSTRAINT JDX\_PK\_jdxSequence  
6 PRIMARY KEY (jdxORMId, seqName)). In other words, the method creates a table that  
7 indicates a sequence name, a starting value, a next value and an increment, along with  
8 other information. Next in step 2204, for each sequence generator defined in the  
9 <SEQUENCE-SPEC> the method creates an entry in the table jdxSequence. Once  
10 complete, the table identifies which sequence generators are available, and the rows in  
11 the table can be used for the generation of unique identification numbers, and this  
12 portion of the method is complete.

13 During execution, the method begins in step 2206 by receiving an object call to  
14 produce a new object or sequence id number. During runtime at the application level, a  
15 need often arises to get a range of unique sequence numbers (could be only one) such as  
16 to assign unique id numbers for new objects. Then in step 2208 the method receives a  
17 get next sequence number call from the application 216. Then in step 2210, the method  
18 starts an independent transaction for the given name sequence. Then in step 2212, the  
19 method increments the value of nextValue column with the given "increment" value for  
20 the sequence name. The method then commits the independent transaction to the  
21 database 206 in step 2214. In response in step 2216, the database 206 returns the value n  
22 which equals next value minus the increment. Finally, in step 2218, the application 216

4           While the present invention has been described with reference to certain  
5   preferred embodiments, those skilled in the art will recognize that various  
6   modifications may be provided. These and other variations upon and modifications to  
7   the preferred embodiments are provided for by the present invention.

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